

STATEMENT OF ECONOMIC INTERESTS

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COVER PAGE

CITY CLERK

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2011 MAR 17 AM 10:29

NAME OF FILER (LAST) (FIRST) (MIDDLE)
KASPERZAK, JR. R. MICHAEL

1. Office, Agency, or Court

Agency Name

CITY COUNCIL

Division, Board, Department, District, if applicable

Your Position

COUNCILMEMBER

► If filing for multiple positions, list below or on an attachment.

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

- ☐ State ☐ Judge (Statewide Jurisdiction)
☐ Multi-County _____ ☐ County of _____
☒ City of MOUNTAIN VIEW ☐ Other _____

3. Type of Statement (Check at least one box)

- ☒ Annual: The period covered is January 1, 2010, through December 31, 2010. ☐ Leaving Office: Date Left ____/____/____
-or- (Check one)
The period covered is ____/____/____, through December 31, 2010. ☐ The period covered is January 1, 2010, through the date of leaving office.
☐ Assuming Office: Date ____/____/____ ☐ The period covered is ____/____/____, through the date of leaving office.
☐ Candidate: Election Year _____ Office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 8

- ☒ Schedule A-1 - Investments - schedule attached ☒ Schedule C - Income, Loans, & Business Positions - schedule attached
☒ Schedule A-2 - Investments - schedule attached ☒ Schedule D - Income - Gifts - schedule attached
☐ Schedule B - Real Property - schedule attached ☒ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

5. Verification

Date Signed 3/8/11
(month, day, year)

Signature

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

R. Michael Kasperzak, Jr.

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/10 ____/____/10
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

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NATURE OF INVESTMENT

- ☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/10 ____/____/10
ACQUIRED DISPOSED

Comments: SEE ATTACHED SCHEDULE

Stocks, Bonds, and other Interests

\$2,000 - \$10,000

| <u>BUY DATE</u> | <u>SELL DATE</u> | <u>SECURITY</u> |
|-----------------|------------------|---|
| 4/8/10 | | BLACKBAUD |
| 11/23/10 | | CALVERT SHORT DURATION INCOME FUND CL Y |
| | 12/8/10 | DREYFUS EMERGING MARKETS DEBT LOCAL CURRENCY CL I |
| | | FPA NEW INCOME |
| | | GENERAL ELECTRIC |
| | | JP MORGAN |
| | | PFIZER |
| | | ROBERT HALF INC. |
| | | VERIZON |

\$10,001 - \$100,000

| <u>Buy Date</u> | <u>Sell Date</u> | <u>Security</u> |
|-----------------|------------------|--|
| | 3/17/10 | ABBOTT LABS |
| 11/9/10 | | ADOBE SYSTEMS |
| 7/28/10 | | ALLIANZ NFJ |
| 2/9/10 | | APPLE COMPUTER |
| | | BIO RAD 6.125% 12/15/14 |
| | 5/26/10 | BP AMOCO |
| | 11/1/10 | BUCKEYE PARTNERS |
| | | CELGENE |
| 10/7/10 | | CISCO SYSTEMS INC |
| 3/12/10 | | CITIGROUP 5.625% 8/27/12 |
| 8/9/10 | | COCA COLA CO. |
| | | COLGATE PALMOLIVE |
| 8/11/10 | | COLUMBIA VALUE & RESTRUCTURING FUND CL Z |
| | | CVS CORP |
| | | DISNEY, WALT |
| 11/24/10 | | ECOLAB |
| | | ENERGY TRANSFER PARTNERS |
| | | ENTERPRISE PRODUCT PARTNERS |
| | | EXXON MOBIL |
| 4/27/10 | | FLEXTRONICS INT'L 6.25% 11/15/14 |
| 2/17/10 | | FORD MOTOR CREDIT-7.25% 12/25/11 |
| 4/16/10 | | GOOGLE |
| 1/28/10 | | IBM |
| | | ISHARES BARCLAYS 1-3 YEAR CREDIT BOND FUND |
| 6/2/10 | 9/2/10 | ISHARES BARCLAYS SHORT TRSY BD FUND |
| | 12/17/10 | ISHARES S&P GLOBAL MATERIALS SECTOR INDEX FUND |
| | | ISHARES TR BARCLAYS INTERMEDIATE BOND |
| | | KINDER MORGAN |
| | | MAGELLAN MIDSTREAM PARTNERS |
| 2/2/10 | | MICROSOFT |
| 10/8/10 | | MMM |
| | | PEPSICO |

BUY DATE SELL DATE**SECURITY**

| | | |
|----------|----------|---|
| 9/2/10 | 11/23/10 | PLAINS ALL AMERICAN PIPELINE |
| | | POWERSHARES BUILD AMERICA BOND ETF |
| | | PROCTER & GAMBLE |
| 3/21/10 | | PRUDENTIAL FINANCIAL |
| 3/23/10 | | RYDER SYSTEM 6.013% 3/1/13 |
| 2/2/10 | 12/6/10 | SECTOR SPDR TR CONSUMER STAPLES |
| | | SEMPRA ENERGY |
| 6/2/10 | 9/2/10 | SPDR BARCLAYS CAPITAL 1-3 MONTH T-BILL |
| | | SPDR GOLD TRUST |
| | | SPRINT CAP CORP NOTE 7.625% 1/30/11 |
| 2/3/10 | | ST JUDE MEDICAL |
| | | STERICYCLE |
| | | SUPERQUAD, LLC |
| | | TARGET-DAYTON HUDSON |
| | | TEVA PHARMACEUTICAL |
| 4/7/10 | 11/24/10 | TRANSOCEAN |
| | | UNION PACIFIC |
| 12/22/10 | | UNION PACIFIC RES 7.05% |
| 10/8/10 | | UNITED TECHNOLOGIES |
| 9/2/10 | 11/23/10 | VANGUARD INTERMEDIATE TERM CORPORATE BOND |
| | 9/21/10 | VANGUARD SHORT TERM BOND |

\$10,001 - \$100,000**Buy Date Sell Date****Security**

| | | |
|--|--|---------------------------------|
| | | SPDR S&P 500 DEPOSITORY RECEIPT |
| | | VANGUARD EMERGING MARKETS STOCK |

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

| |
|---|
| CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION |
| Name <u>R. Michael Kasperzak, Jr.</u> |

▶ 1. BUSINESS ENTITY OR TRUST

DISPUTE RESOLUTION SPECIALISTS

Name
1172 MORTON COURT, MOUNTAIN VIEW CA

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

MEDIATION SERVICE PROVIDER

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

 / / 10 / / 10
ACQUIRED DISPOSED

NATURE OF INVESTMENT

☒ Sole Proprietorship ☐ Partnership ☐ Other

YOUR BUSINESS POSITION OWNER

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☐ \$0 - \$499 ☒ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☐ \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:

☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity or
Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or
City or Other Precise Location of Real Property

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

 / / 10 / / 10
ACQUIRED DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold ☐ Other
Yrs. remaining

☐ Check box if additional schedules reporting investments or real property are attached

Comments: _____

▶ 1. BUSINESS ENTITY OR TRUST

CYGNET MANAGEMENT GROUP

Name
1172 MORTON COURT, MOUNTAIN VIEW CA

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

CONSULTING AND PROPERTY MANAGEMENT

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

 / / 10 / / 10
ACQUIRED DISPOSED

NATURE OF INVESTMENT

☒ Sole Proprietorship ☐ Partnership ☐ Other

YOUR BUSINESS POSITION SPOUSE OF OWNER

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☐ \$0 - \$499 ☒ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☐ \$1,001 - \$10,000

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)

MONTALVO ARTS CENTER, REGIONAL PARKS FND
2D HARVEST FOOD BANK, SAMARITAN HOUSE

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:

☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity or
Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or
City or Other Precise Location of Real Property

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

 / / 10 / / 10
ACQUIRED DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold ☐ Other
Yrs. remaining

☐ Check box if additional schedules reporting investments or real property are attached

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

| |
|---|
| CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION |
| Name <u>R. Michael Kasperzak, Jr.</u> |

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

BEYOND NINES, LLC

ADDRESS (Business Address Acceptable)

17024 37th AVENUE NE, SEATTLE WA 98155

BUSINESS ACTIVITY, IF ANY, OF SOURCE

NON-PROFIT CONSULTING

YOUR BUSINESS POSITION

CONSULTANT AND CEO

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☒ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☒ Spouse's or registered domestic partner's income

☐ Loan repayment ☐ Partnership

☐ Sale of _____
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other _____
(Describe)

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's or registered domestic partner's income

☐ Loan repayment ☐ Partnership

☐ Sale of _____
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other _____
(Describe)

► **2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000
☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000
☐ OVER \$100,000

INTEREST RATE

_____% ☐ None

TERM (Months/Years)

SECURITY FOR LOAN

☐ None ☐ Personal residence

☐ Real Property _____
Street address

City

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____

SCHEDULE D Income – Gifts

| |
|--|
| CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name R. Michael Kasperzak, Jr. |
|--|

| ▶ NAME OF SOURCE UNIVERSITY ASSOCIATES-SILICON VALLEY ADDRESS (Business Address Acceptable) MOFFETT FIELD, MOUNTAIN VIEW, CA BUSINESS ACTIVITY, IF ANY, OF SOURCE EDUCATIONAL ORGANIZATION <table border="1"> <thead> <tr> <th>DATE (mm/dd/yy)</th> <th>VALUE</th> <th>DESCRIPTION OF GIFT(S)</th> </tr> </thead> <tbody> <tr> <td>10 / 02 / 10</td> <td>\$ 90</td> <td>AWARDS TICKET</td> </tr> <tr> <td> </td> <td>\$</td> <td> </td> </tr> <tr> <td> </td> <td>\$</td> <td> </td> </tr> </tbody> </table> | DATE (mm/dd/yy) | VALUE | DESCRIPTION OF GIFT(S) | 10 / 02 / 10 | \$ 90 | AWARDS TICKET | | \$ | | | \$ | | ▶ NAME OF SOURCE ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF SOURCE <table border="1"> <thead> <tr> <th>DATE (mm/dd/yy)</th> <th>VALUE</th> <th>DESCRIPTION OF GIFT(S)</th> </tr> </thead> <tbody> <tr> <td> </td> <td>\$</td> <td> </td> </tr> <tr> <td> </td> <td>\$</td> <td> </td> </tr> <tr> <td> </td> <td>\$</td> <td> </td> </tr> </tbody> </table> | DATE (mm/dd/yy) | VALUE | DESCRIPTION OF GIFT(S) | | \$ | | | \$ | | | \$ | |
|--|-----------------|------------------------|------------------------|--------------|-------|---------------|--|----|--|--|----|--|--|-----------------|-------|------------------------|--|----|--|--|----|--|--|----|--|
| DATE (mm/dd/yy) | VALUE | DESCRIPTION OF GIFT(S) | | | | | | | | | | | | | | | | | | | | | | | |
| 10 / 02 / 10 | \$ 90 | AWARDS TICKET | | | | | | | | | | | | | | | | | | | | | | | |
| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
| DATE (mm/dd/yy) | VALUE | DESCRIPTION OF GIFT(S) | | | | | | | | | | | | | | | | | | | | | | | |
| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
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| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
| DATE (mm/dd/yy) | VALUE | DESCRIPTION OF GIFT(S) | | | | | | | | | | | | | | | | | | | | | | | |
| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$ | | | | | | | | | | | | | | | | | | | | | | | | |

Comments: _____

SCHEDULE E
Income – Gifts
Travel Payments, Advances,
and Reimbursements

| |
|--|
| CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION |
| Name R. Michael Kasperzak, Jr. |

- Reminder – you must mark the gift or income box.
- You are not required to report income from government agencies.
- You may mark the box 501(c)(3) for a travel payment received from a nonprofit 501(c)(3) organization. When the payment is a gift it is reportable but is not subject to the \$420 gift limit.

| | |
|---|---|
| <p>► NAME OF SOURCE <u>LEAGUE OF CALIFORNIA CITIES</u></p> <p>ADDRESS (Business Address Acceptable) <u>1400 K STREET</u></p> <p>CITY AND STATE <u>SACRAMENTO, CA</u></p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE <input type="checkbox"/> 501 (c)(3) <u>MUNICIPAL LEAGUE</u></p> <p>DATE(S): <u>1 / 1 / 10 - 12 / 31 / 10</u> AMT: \$ <u>5347</u> (If applicable)</p> <p>TYPE OF PAYMENT: (must check one) <input type="checkbox"/> Gift <input checked="" type="checkbox"/> Income</p> <p>DESCRIPTION: <u>TRAVEL, MEALS & LODGING FOR</u> <u>VOLUNTEER SERVICES AS A MEMBER</u> <u>OF THE BOARD OF DIRECTORS</u></p> | <p>► NAME OF SOURCE</p> <p>ADDRESS (Business Address Acceptable)</p> <p>CITY AND STATE</p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE <input type="checkbox"/> 501 (c)(3)</p> <p>DATE(S): ____/____/____ - ____/____/____ AMT: \$____ (If applicable)</p> <p>TYPE OF PAYMENT: (must check one) <input type="checkbox"/> Gift <input type="checkbox"/> Income</p> <p>DESCRIPTION: _____</p> |
| <p>► NAME OF SOURCE</p> <p>ADDRESS (Business Address Acceptable)</p> <p>CITY AND STATE</p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE <input type="checkbox"/> 501 (c)(3)</p> <p>DATE(S): ____/____/____ - ____/____/____ AMT: \$____ (If applicable)</p> <p>TYPE OF PAYMENT: (must check one) <input type="checkbox"/> Gift <input type="checkbox"/> Income</p> <p>DESCRIPTION: _____</p> | <p>► NAME OF SOURCE</p> <p>ADDRESS (Business Address Acceptable)</p> <p>CITY AND STATE</p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE <input type="checkbox"/> 501 (c)(3)</p> <p>DATE(S): ____/____/____ - ____/____/____ AMT: \$____ (If applicable)</p> <p>TYPE OF PAYMENT: (must check one) <input type="checkbox"/> Gift <input type="checkbox"/> Income</p> <p>DESCRIPTION: _____</p> |

Comments: _____